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交收指示表格 SI/ISI Instruction Form

帳戶資料 Account Information

姓名
Name

帳戶號碼
Account Number

交收指示 Settlement Instruction

交收方法 Settlement Method

股票交收指示 SI

投資者交收指示 ISI

指示類別 Instruction Type

存入證券 Receive Stocks

提取證券 Deliver Stocks

交收日期 Settlement Date

DD/MM/YYYY

交收對手資料 Counterparty Details

投資者戶口編號 Investor A/C No.:

對手名稱

參與者編號 Participant ID:

Name of Counterparty

聯絡人

聯絡電話

Contact Person

Contact Number

收款/付款指示 Payment Instruction:

如客戶沒有選擇右方指示，將以“無需付款交收”執行是次指示。
Free of Payment will be the default payment instruction if neither choice in the right box is selected.

相關費用將於客戶之股票戶口內扣除。

All charges in relation to the settlement instructions will be debited from the client's securities account.

請選擇下列其中一項 Please select ONE of the choices below

無需付款交收 (FOP) Free of Payment

貨銀對付交收 (DVP) Delivery Versus Payment

即時貨銀對付 (RDP) Realtime Delivery Versus Payment

股票代號 Stock Code	股票名稱 Stock Name	股票數量 Stock Quantity	交收銀碼 Settlement Amount	收費 Handling Fee
1				\$
2				\$
3				\$
4				\$
5				\$
總數 (港幣) Total (HKD)				\$

客戶簽署

Client
Signature



日期
Date

For Internal Use only

Input by:

Verified by Settlement
department:

Checked/ Approved by
RO:

OTCR Submitted by:

- C = Broker - Custodian
 M = Portfolio Movement
 I = Investor - Intermediary